JAN 2 0 2004 BD Docket No.: WRN-8378

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date indicated below.

Ву:

Date: January 15, 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applic. No.

10/698,062

Applicant

Reinhold Noe

Filed

October 30, 2003

Art Unit Examiner to be assigned to be assigned

Docket No.

WRN-8378

Customer No. :

24131

INFORMATION DISCLOSURE STATEMENT

Hon. Commissioner for Patents

Sir:

In accordance with 37 C.F.R. 1.98 copies of the following patents and/or publications are submitted herewith:

Leonid G. Kazovsky: "Performance Analysis and Laser Linewidth Requirements for Optical PSK Heterodyne Communications Systems", *Journal of Lightwave Technology, Vol. LT-4, No. 4, April 1986, pp. 415-425*;

Reinhold Noe et al.: "Direct modulation 565 Mb/s PSK heterodyne system with solitary SL-QW-DFB lasers and novel suppression of the phase transition periods in the carrier recovery", *ECOC '92*, *Berlin*, pp. 867-870;

Frowin Derr: "Coherent Optical QPSK Intradyne System: Concept and Digital Receiver Realization", *Journal of Lightwave Technology, Vol. 10, No. 9, September 1992*;

Seiji Norimatsu et al.: "The Influence of Cross-Phase Modulation on Optical FDM PSK Homodyne Transmission Systems", *Journal of Lightwave Technology, Vol. 11, No. 5/6, May/June 1993, pp. 795-804*;

Seiji Norimatsu et al.: "Damping Factor Influence on Linewidth Requirements for Optical PSK Coherent Detection Systems", *Journal of Lightwave Technology, Vol.* 11, No. 7, July 1993, pp. 1226-1233;

Seiji Norimatsu et al.: "Impact of Flicker Noise and Random-Walk Noise on a Phase-Locked Loop With Finite Propagation Delay", *Journal of Lightwave Technology, Vol.* 12, No. 1, January 1994, pp. 86-95;

Seiji Norimatsu: "Optimum Optical Power Splitting Ratio of Decision Driven Phase-Locked Loop in BPSK Optical Homodyne Receiver" *Journal of Lightwave Technology, Vol. 13, No. 11, November 1995, pp. 2183-2190.*

Respectfully submitted,

or Applicaint

Gregory L. Mayback Reg. No. 40,719

Tate: January 15, 2004

Lerner And Greenberg, P.A. Post Office Box 2480 Hollywood, FL 33022-2480

Tel: (954) 925-1100 Fax: (954) 925-1101

/bmb

RM PTO-1449 (SUBSTITUTE) TRADEM Attorney Docket No.: Applic. No. WRN-8378 10/698,062 S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE Applicant Reinhold Noe INFORMATION DISCLOSURE STATEMENT BY APPLICANT Filing Date Group Art Unit (37 CFR 1.98(b)) October 30, 2003 **U.S. PATENT DOCUMENTS** EXAMINER SUB FILING **INITIALS** PATENT NO. DATE **PATENTEE** CLASS **CLASS** DATE Α В С D Ε F G Н FOREIGN PATENT DOCUMENT SUB TRANSL. DOCUMENT NO. DATE COUNTRY CLASS CLASS YES | NO J K L М Ν OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.) $\overline{\circ}$ Leonid G. Kazovsky: "Performance Analysis and Laser Linewidth Requirements for Optical PSK Heterodyne Communications Systems", Journal of Lightwave Technology, Vol. LT-4, No. 4, April 1986, pp. 415-425

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609;

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Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

periods in the carrier recovery", ECOC '92, Berlin, pp. 867-870

Reinhard Noe et al.: "Direct modulation 565 Mb/s PSK heterodyne system with solitary SL-QW-DFB lasers and novel suppression of the phase transition

DATE CONSIDERED

F. F. CORM PTO-1449 (SUBSTITUTE) Sheet 2 of 4 Attorney Docket No.: Applic. No. WRN-8378 10/698,062 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE **Applicant** Reinhold Noe INFORMATION DISCLOSURE STATEMENT BY APPLICANT Filing Date Group Art Unit (37 CFR 1.98(b)) October 30, 2003 **U.S. PATENT DOCUMENTS EXAMINER** SUB **FILING INITIALS** PATENT NO. DATE PATENTEE CLASS **CLASS** DATE Α В C D Ē F G Н FOREIGN PATENT DOCUMENT SUB TRANSL. YES | NO DOCUMENT NO. DATE CLASS COUNTRY CLASS J Κ L M Ν OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.) Ō Frowin Derr: "Coherent Optical QPSK Intradyne System: Concept and Digital Receiver Realization", Journal of Lightwave Technology, Vol. 10, No. 9, September 1992 P Seiji Norimatsu et al.: "The Influence of Cross-Phase Modulation on Optical FDM PSK Homodyne Transmission Systems", Journal of Lightwave Technology, Vol. 11, No. 5/6, May/June 1993, pp. 795-804

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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

0	Seiji Norimatsu et al.: "Damping Factor Influence on Linewidth Requirements for Optical PSK Coherent Detection Systems", <i>Journal of Lightwave Technology, Vol. 11, No. 7, July 1993, pp. 1226-1233</i>
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